

# Lecture 5: Graphs & their Representation

## Why Do We Need Graphs

**Graph Algorithms:** Many problems can be formulated as problems on graphs and can be solved with graph algorithms. To learn those graph algorithms, we need basic knowledge of graphs.

**Graphs:** A graph describes some relation among a set of objects.

**Examples of Applications:** Communication and transportation networks, logic circuits, computer aided designs, etc.

## Graphs – Continued

There are various types of graphs.

Two basic types are

**undirected graphs** (usually just called **graphs**)

**directed graphs** (sometimes called **digraphs**)

## Undirected graphs

**Definition:** An (undirected) graph  $G = (V, E)$  consists of two sets (components), a set  $V$  of **vertices (nodes)** and a set  $E$  of **edges**.

$E$  is a set of 2-subsets of  $V$ ,  
that is, each edge is of the form  $\{v, w\}$ .

**Example** of an (undirected) graph:

$$V = \{1, 2, 3, 4, 5, 6\}.$$

$$E = \{\{1, 2\}, \{2, 5\}, \{1, 5\}, \{3, 6\}\}$$

**Remark:** In this course, unless otherwise stated, all graphs dealt with do not have **self-loops** (that is,  $v \neq w$ ) and do not have **parallel edges** (that is, all edges are distinct).

## Graphs – Continued

**Definition:** A directed graph  $G = (V, E)$  consists of two sets (components), a set  $V$  of **vertices (nodes)** and a set  $E$  of **edges**.

$E$  is a subset of  $V \times V$ ,  
that is, each edge is of the form  $(v, w)$ ,  
a **directed edge from  $v$  to  $w$** .

**Example** of a digraph:

$$V = \{1, 2, 3, 4, 5, 6\}.$$

$$E = \{(1, 2), (2, 4), (4, 1), (2, 5), (4, 5), (5, 4), (6, 3)\}.$$

**Remark:** Again, unless otherwise stated, all graphs dealt with do not have **self-loops** and do not have **parallel edges**.

## Representation

We will consider various (equivalent) **representations** of graphs:

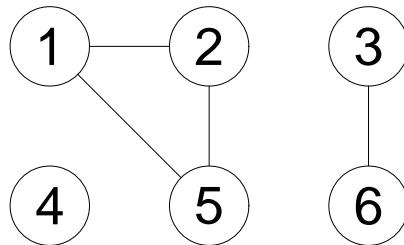
- Set description (as in definition).  
*Mathematical*
- Pictorial representation.  
*For explanations*
- Adjacency matrix representation.  
*In computer*
- Adjacency list representation.  
*In computer*

## Pictorial Representation

**Example**, (undirected) graph:

$$V = \{1, 2, 3, 4, 5, 6\}.$$

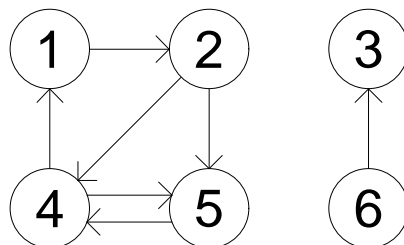
$$E = \{\{1, 2\}, \{2, 5\}, \{1, 5\}, \{3, 6\}\}$$



**Example**, digraph:

$$V = \{1, 2, 3, 4, 5, 6\}.$$

$$E = \{(1, 2), (2, 4), (4, 1), (2, 5), (4, 5), (5, 4), (6, 3)\}.$$



## Matrix Representation

**Adjacency Matrix of Digraphs:** Let  $G = (V, E)$  be a directed graph, where  $V$  is indexed by  $\{1, 2, \dots, n\}$ . Its  $n \times n$  *adjacency matrix* of  $G$  is defined by

$$A[v, w] = \begin{cases} 1 & \text{if } (v, w) \in E, \\ 0 & \text{otherwise} \end{cases}$$

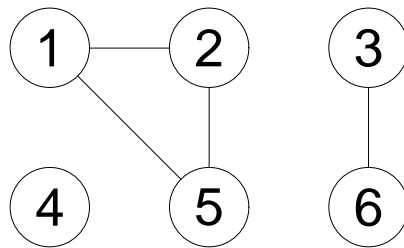
**Adjacency Matrix of Graphs:** Let  $G = (V, E)$  be a graph, where  $V$  is indexed by  $\{1, 2, \dots, n\}$ . The  $n \times n$  *adjacency matrix* of  $G$  is defined by

$$A[v, w] = \begin{cases} 1 & \text{if } \{v, w\} \in E, \\ 0 & \text{otherwise} \end{cases}$$

**Remark:** The adjacency matrix of a digraph might **not** be symmetric, while that of undirected graphs must be symmetric.

## Matrix Representation – Continued

**Example, undirected graph:** The adjacency matrix of



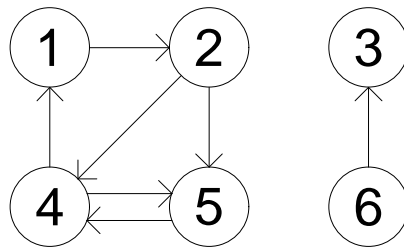
is

$$\begin{bmatrix} 0 & 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix}.$$



## Matrix Representation – Continued

**Example, directed graph:** The adjacency matrix of



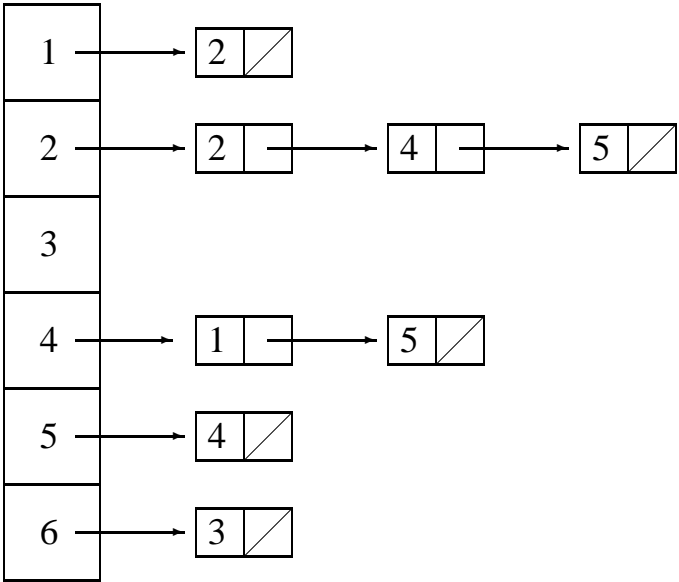
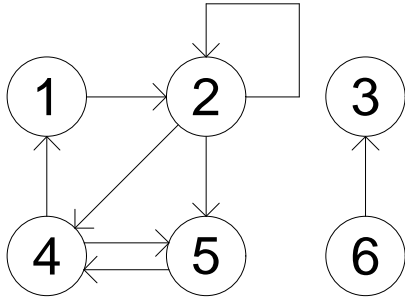
is

$$\begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \end{bmatrix}.$$

# Adjacency List

An **Adjacency List** is an array  $Adj[1..n]$  of pointers, where  $Adj[u]$  points to a linked list containing the vertices  $v$  such that  $\{u, v\}$  (undirected) or  $(u, v)$  (directed) is an edge.

**Example** (**Attention:** A loop at vertex 2).



## The Best Representation

Which is better to use, adjacency matrices or adjacency lists?

The answer depends upon what operations your algorithm needs to perform

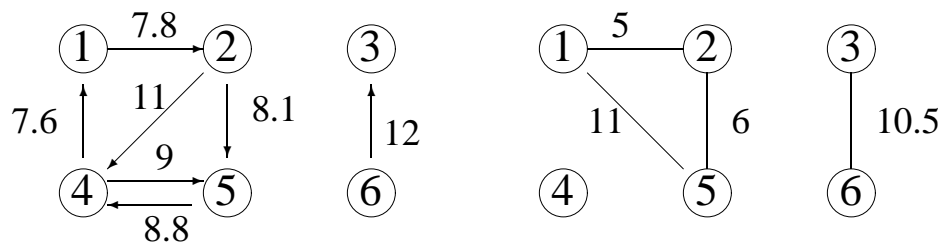
Operation	Adjacency List	Adjacency Matrix
Initialization (space needed)	$\Theta( E  +  V )$	$\Theta( V ^2)$
Scan all Edges once	$\Theta( E  +  V )$	$\Theta( V ^2)$
Check if $(u, v) \in E$	$\Theta( V )$	$O(1)$

## Weighted Graphs

**Definition:** A *weighted graph (digraph)* is a graph in which there is a number associated with each edge called the *weight* of the edge.

This weight could represent many things, e.g., the length of a road connecting  $u$  to  $v$ , the cost of shipping an item from  $u$  to  $v$ , the capacity of a pipeline connecting  $u$  to  $v$ , etc..

Examples:



For weighted graphs (and digraphs), we can store the weights in a matrix similar to the adjacency matrix.

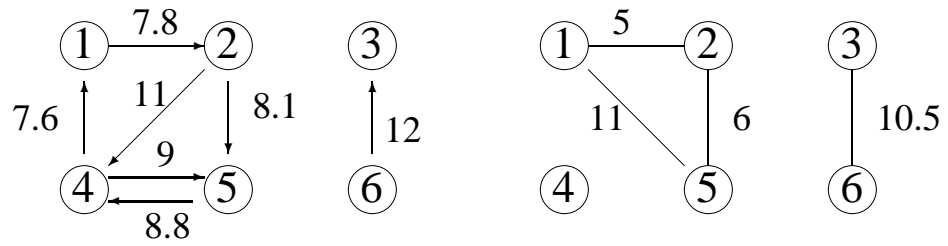
For example, for an edge  $\{v, w\}$  (or  $(v, w)$ ), define

$$A[v, w] = W(v, w),$$

the weight of the edge.

Otherwise we define  $A[v, w]$  to be  $\infty$ , which is larger than any number.

The *adjacency list* data structure can similarly be augmented to store weights.



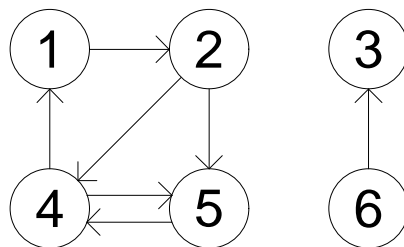
$$\begin{bmatrix} \infty & 7.8 & \infty & \infty & \infty & \infty \\ \infty & \infty & \infty & 11 & 8.1 & \infty \\ \infty & \infty & \infty & \infty & \infty & \infty \\ 7.6 & \infty & \infty & \infty & 9 & \infty \\ \infty & \infty & \infty & 8.8 & \infty & \infty \\ \infty & \infty & 12 & \infty & \infty & \infty \end{bmatrix}.$$

$$\begin{bmatrix} \infty & 5 & \infty & \infty & 11 & \infty \\ 5 & \infty & \infty & \infty & 6 & \infty \\ \infty & \infty & \infty & \infty & \infty & 10.5 \\ \infty & \infty & \infty & \infty & \infty & \infty \\ 11 & 6 & \infty & \infty & \infty & \infty \\ \infty & \infty & 10.5 & \infty & \infty & \infty \end{bmatrix}.$$

## Incidence

**Incident from & to:** In a directed graph  $G = (V, E)$ , an edge  $(u, v)$  is *incident from* or *leaves*  $u$ , and is *incident to* or *enters*  $v$ .

**Example:** In the digraph

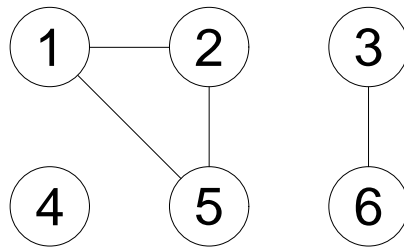


the edge  $(1, 2)$  is incident from 1 and is incident to 2.

## Incident

**Incident on:** In an undirected graph  $G = (V, E)$ , an edge  $\{u, v\}$  is said to be *incident on* both  $u$  and  $v$ .

**Example:** In the undirected graph



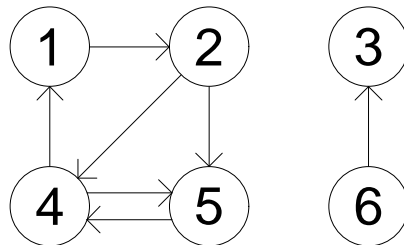
the edge  $\{3, 6\}$  is incident on both 3 and 6.



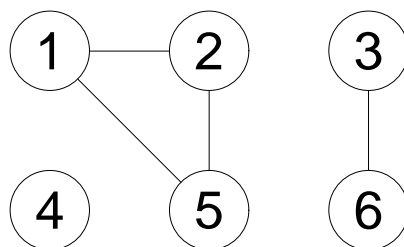
## Adjacent

A vertex  $v$  is *adjacent to*  $u$  if there is an edge **from**  $u$  to  $v$ .

For example, in the digraph below, 2 is adjacent to 1.



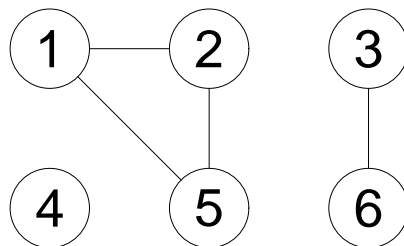
In the undirected graph below, 1 and 2 are adjacent vertices.



## Degree of Vertices and Graphs

**Degrees:** The **degree** of a vertex in an undirected graph is the number of edges incident on it, with a loop being counted twice. The **(total) degree** is the sum of the degrees of all vertices.

**Example:** For the undirected graph



we have

$$\begin{aligned} \deg(1) &= \deg(2) = \deg(5) = 2, \\ \deg(3) &= \deg(6) = 1, \quad \deg(4) = 0. \end{aligned}$$

$$\text{So } \deg(G) = \sum_{i=1}^6 \deg(i) = 8.$$

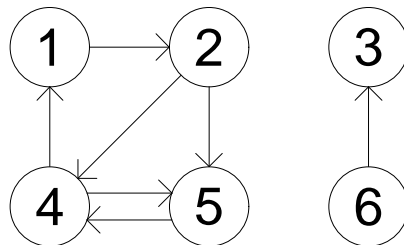
**Degree Formula:** For a graph  $G = (V, E)$ ,

$$\deg(G) = \sum_{v \in V} \deg(v) = 2|E|.$$

## Degree of Vertices and Graphs – Continued

In a digraph, the *outdegree* of a vertex is the number of edges leaving it, and the *indegree* of a vertex is the number of edges entering it. The *degree* of a vertex in a directed graph is its indegree plus outdegree.

**Example:** For the directed graph



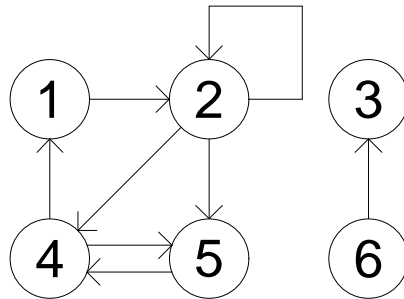
we have

$$\begin{aligned} \text{indegree}(1) &= \text{outdegree}(1) = 1, \\ \text{indegree}(2) &= 1, \text{ outdegree}(2) = 2, \\ \text{indegree}(5) &= 2, \text{ outdegree}(5) = 1. \end{aligned}$$

**Degree Formula:** For a digraph  $G = (V, E)$ ,

$$\sum_{v \in V} \text{indegree}(v) = \sum_{v \in V} \text{outdegree}(v) = |E|.$$

## Paths



**Path:** A *path* in a directed graph is a sequence of vertices

$$\langle v_0, v_1, \dots, v_k \rangle$$

such that

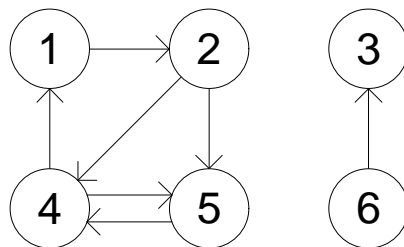
$$(v_{i-1}, v_i) \in E$$

for  $i = 1, 2, \dots, k$ .

The *length* of the path is the number of edges,  $k$ .

We say that  $w$  is *reachable* from  $u$  if there is a path from  $u$  to  $w$ . A path is *simple* if all vertices are distinct.

**Example:** In the digraph

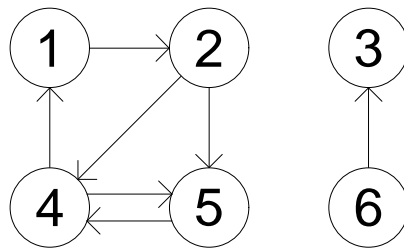


$\langle 2, 4, 5, 4 \rangle$  is a path of length 3. It is not simple.

## Cycles

**Cycle:** In a directed graph, a *cycle* is a path  $\langle v_0, v_1, \dots, v_k \rangle$  in which  $v_0 = v_k$ .

For example,  $\langle 1, 2, 5, 4, 1 \rangle$ ,  $\langle 5, 4, 5 \rangle$ ,  $\langle 1, 2, 4 \rangle$ , are cycles in the graph

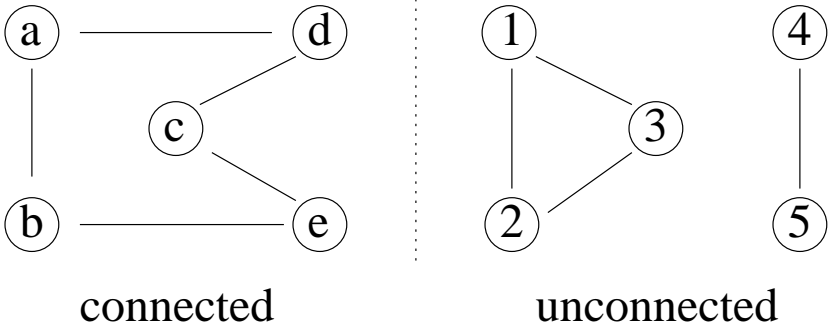


**Paths and Cycles in Undirected Graphs** are similarly defined.

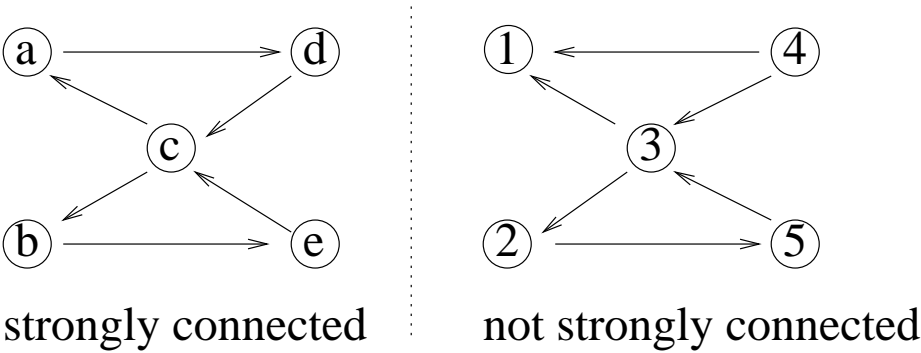
A cycle  $\langle v_0, v_1, \dots, v_k \rangle$  is *simple* if the path  $\langle v_0, v_1, \dots, v_{k-1} \rangle$  is simple.

# Special Graphs

**Connected Graph:** An undirected graph is *connected* if every pair of vertices is connected by a path.



**Strongly Connected Digraph:** A directed graph is *strongly connected* if for any pair of vertices one can be reachable from the other.

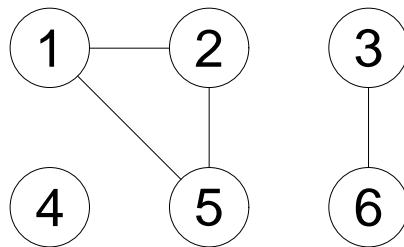


## Special Graphs – Continued

**Acyclic Graphs:** A graph with no cycle is *acyclic*.

**DAG** = directed acyclic graph.

**Forest:** An acyclic, undirected graph is a *forest*. For example, the undirected graph below is not a forest, as it has cycles.

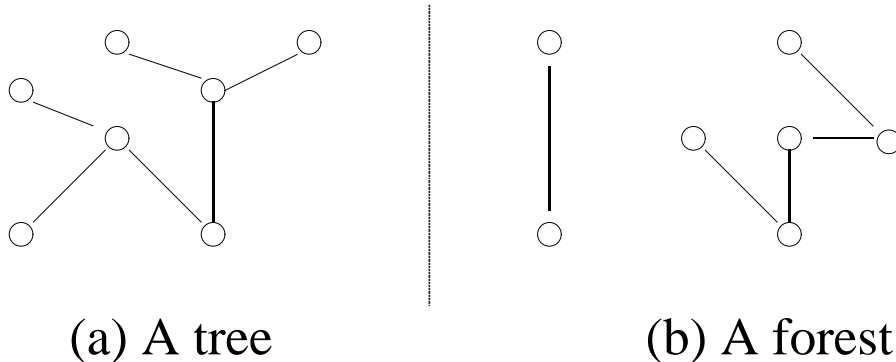


**Tree:** An acyclic, connected undirected graph is a *tree*.

**Comments on Forests and Trees:** Any forest must be composed of a number of trees.

## Special Graphs – Trees

**Trees:** A tree is a connected, acyclic, undirected graph. For example, Graph (a) is a tree, while (b) is a forest:



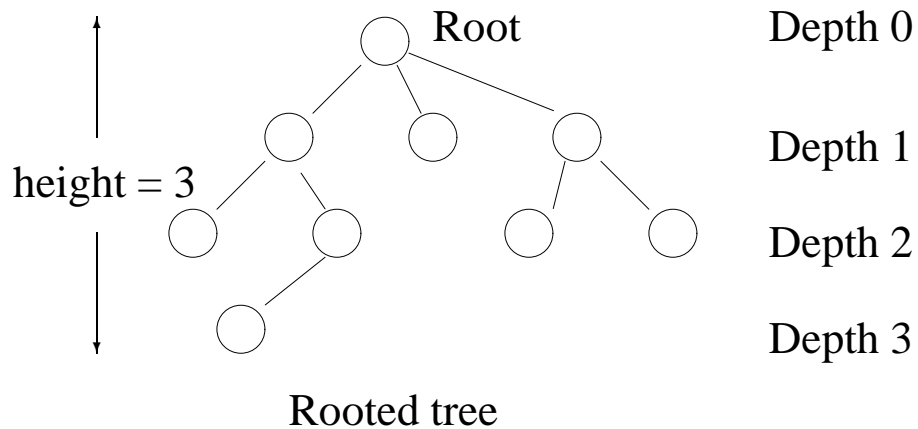
**Properties of Trees:** Let  $G = (V, E)$  be an undirected graph. The following statements are equivalent:

- (1)  $G$  is a tree.
- (2) Any two vertices in  $G$  are connected by a unique simple path.
- (3)  $G$  is connected, and  $|E| = |V| - 1$ .
- (4)  $G$  is acyclic, and  $|E| = |V| - 1$ .



## Rooted Trees and Ordered Trees

**Rooted Tree:** A *rooted tree* is a tree in which one of the vertices is distinguished from the others. The distinguished vertex is called the *root* of the tree.



**Some Basic Notions:** Height, depth (level), nodes (internal vertices), leaves, children.

**Ordered Tree:** An *ordered tree* is a tree in which the children of each node are ordered.

## Binary Trees

**Binary Tree:** In a **binary tree** every node has at most two children.

We distinguish between **left** children and **right** children.